根據《證券及期貨條例》就第2、5類受規管活動獲發牌的持牌法團CE編號BMV692

香港上環干諾道中168-200號信德中心招商局大廈17樓01及18B室 Room1701 & 1718B, 17/F, Shun Tak Centre (China Merchants Tower),

> No.168-200 Connaught Road Central, Sheung Wan, Hong Kong 電話Tel (852) 2856 0118 傳真Fax (852) 2856 3011

A corporation licensed for Type 2 and 5 regulated activities under the Securities and Futures Ordinance with CE no. BMV692

客戶號碼 Client Number	
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# 樣本

# 開戶表格 ACCOUNT OPENING INFORMATION FORM 企業帳戶 CORPORATION ACCOUNT

客戶名稱 Client Name					
客戶號碼 Client Number		開戶日期 Date	DD-MM-YYYY		
The same chefit i varioti		m) Hall Bate	DD-IVIIVI- I I I I		
根據證券及期貨事務監察委員	員會之持牌人或註冊人操守準則,請提	供以下資料:			
	Conduct for Persons Registered with The Se			owing information:	
	Please "✓" on the appropriate choice.	* 刪除不適用 Delete if not a	applicable.		
帳戶資料 - Account Info	rmation 請完整填寫				
中文名稱: XXXX	X公司				
Name in English: XXXX	XX Company				
註冊/成立地點 Country of		業務性質			
incorporation /Establishment		Type of Business 在註冊地的註冊編號			
香港商業登記編號 Business Registration No.	XXXXXXXX		Incorporation/establishment		
註冊地的註冊辦事處地址 Re	gistered office in	registered no. in country of	incorporation establishment		
country of incorporation /establi	_				
主要辦事處地址(如有異於上	述):Principal place of				
business (if different):					
註冊辦事處電話		主要辦事處電話	Tal No XXXXXXXXXXX	ΧX	
Registered Office Tel No 註冊辦事處傳真電話		Principal place of business 7	Iel. No.		
Registered Office Fax No		主要辦事處傳真電話 Principal place of business Fax No.			
電郵地址		Timelpar place of business i	MATIO.		
E-mail address X	XX@XXXX.com				
	!選擇一項) Statements will be sent by the f	following means (select one):			
_	浴免行政費Administrative Fee may be waiv		t (詩象閣)b費表 Please refer to	the fee leaflet)	
				the rec learner)	
	以下地址 (只選一項) All postal correspond		wing address (select one).		
☑ 辦公室地址Office addre	ss	er address :			
指定銀行 Designated Bank					
銀行名稱	✓港元HKD	帳戶號碼 銀行號	- 分行號 - 帳戶號		
Name of Bank XX銀行	口 美元 USD		- XXX - XXXXXXX		
	□ 其他 Other □ 港元HKD				
銀行名稱	☑ 美元 USD	1747 474 3	- 分行號 - 帳戶號		
Name of Bank XX銀行	□ 其他 Other	Account No XXX	- XXX - XXXXXXX		
銀行名稱	口 港元HKD	帳戶號碼			
Name of Bank	口 美元 USD	Account No			
海外銀行請提供地址及 Swift	口 其他 Other				
Bank address and Swift Code for					
董事資料 Director(s) Information 股東資料 Shareholder(s) Information					
全部董事姓名	身份證號碼/護照號碼	主要股東	身份證號碼/護照號碼	持股比例	
Name(s) of All Director(s)	I.D. Card No./ Passport No.	Major Shareholders	I.D. Card No./ Passport No.	Shareholding %	
XXX	XXXXXXXXXXXXXXXXX	XXX	XXXXXXXXXXXXXXXXX	100	

客戶號碼 Client Number

財政狀況Financial Situation		適當的選擇旁	加上✓				
法定股本 Authorized Capital	根據最近的審計賬目	Based on latest audite	ed accounts as a	ıt/_	_年度		
	□ 0 - 1,000,000	<u> </u>	3,000,000	□ 3,000,0	001 - 8,000,0	00	□ >8,000,001
發行股本 Paid-up Capital	根據最近的審計賬目	Based on latest audite	ed accounts as a	ıt/	_年度		
	□ 0 - 1,000,000	<u> </u>	3,000,000	3,000,0	001 - 8,000,0	00	□ >8,000,001
投資經驗及衍生產品認識 In	nvestment Experience &	Derivative Products I	Knowledge				
投資目的 Investment Objective	es:						
□ 資本投資及收入 Capital Ir	ivestment and Income	□對沖 Hedging	□ 投機 Sp	eculation	□ 其他(請詞	說明) Other	rs(specify)
□ 我了解衍生產品的性質	和風險: I understand th	e nature and risks of	derivative produ	ucts by:			
1. 已接受有關的培訓或	或課程. Undergo	oing relevant training	or attending co	ourse in.			
□ 監管機構 Regulatory Author	□ 交易所 rity Exchange	□ 大專院校 Tertiary In		□ 進修學院 Education	Institution	□ 金融機 Fina	幾構 ncial Institution
	進行了五次或以上有關征 more transactions in deriv					he past three	e years.
3. 於經紀公司或銀行 By gaining prior relev	、基金或資產管理公司 want work experience in fi					se or asset m	nanagement firm,
regulatory authority o	r exchange.						
□受監管持牌人士			□與衍生工具				
Regulated License□ 本人並未有衍生產品之		nagement knowledge of derivat		Related Back	Office		
□ 平八业小月四上/生□□~	- 節心明 1 11年で14〇	KIIOWICUSE OI GETTA	TVe products.				
投資經驗 Investme	nt Experience			<u>年</u>	期 Year(s)		
□ 期貨或期權 Futures or Opt	ions	□沒有 Nil	□ 1年以下 be	elow 1 year	□ 1-3 年 ye	ear(s)	] 3 年以上 Over 3 years
□上市證券 Listed Securities		□沒有 Nil	□ 1年以下 be	-	□ 1-3 年 ye		] 3 年以上 Over 3 years
□ 衍生權證 Derivatives Warr	ant		□ 1年以下 be	elow 1 year	□ 1-3 年 ye	ear(s)	, e   15(mm o rea e years
□ 其他(請說明) Others(speci	fy)	□沒有 Nil	□ 1年以下 be	elow 1 year	□ 1-3 年 ye	ear(s)	] 3 年以上 Over 3 years
最終實益擁有人及利益	調係申報 Benefic	lary Ownership a	ınd Interest I	Declaration	適常	當的選擇	旁加上✓
貴公司是否此帳戶的最終實益排 Are you the ultimate beneficial own owner(s) is/ are:							
□ 是 Yes □ 否 No	姓名 Name:		身分證/護照號	碼 ID/Passport	. No		
	地址 Address:						
貴公司或貴公司之任何股東或 或註冊機構/持牌法團的僱員;或				長戶之最終權	益擁有人(下種	稱"關連人	士")是否香港證監會持牌人士
Are you or any of your shareholders beneficial owners of the Account ( Kong; or an employee of an Exchan	"Relevant Person(s)" ) a lic	censed person or an emp	ployee of any Reg				
□ 否 No □ 是 Yes	請註明關係Please specify						
貴公司或貴公司之任何股東或重有限公司或其集團屬下成員公司			—— 指令之人士或帕	長戶之最終權法	── 益擁有人(下種	稱"關連人	士")是否與五礦經易金融服務
Are you or any of your shareholders beneficial owners of the Account (companies?	s or directors, your partners,	your authorized persons					
□ 香 No □ 是 Yes	董事或僱員之姓名 Name	e of the director(s) or er	nployee(s):				
	關係 Relationship	□ 雙親 Parent □ 孩子 Child	□ 配偶 Spo □ 其他 Ohte		」親屬 Relati	ves	
貴公司或貴公司之任何股東或 的而言的居民? (注意: 由於美國	<b>董事、合夥人、授權人、</b>	最終負責為帳戶發出	指令之人士或輔	長戶之最終權	益擁有人(下租	稱 "關連人	士")是否美國公民或就稅務目
Are you or any ultimate beneficial or require special approval.)		戶需要特別審批。)	USA for tax purpc	oses? (Note: Du	ie to US tax rep	oorting require	ements, US persons' accounts
Are you or any ultimate beneficial or require special approval.)	owner(s) of the Account citiz 董事、合夥人、授權人、	戶需要特別審批。) zens or residents of the U					



# 請完整填寫,如同一人被授權多於一個權限,只需填寫一次資料並在"權限"勾選適當的權限

客戶號碼 Client Number

授權第三方操作本人(等)之帳戶 Authorization for Third F	Party to Operate Accour	nt
本公司現授權下例人士(下稱"授權人士")代本人(等)於貴公司操作上達	述帳戶,包括發出口頭或讀	書面交易指示、確認交易及調撥資金。 With
reference to the above mentioned Account(s) opened and operated by us with	you, we hereby authorize the	following person(s) ("the authorized person(s)")to give
oral or written trading instruction, to confirm the transaction(s) and transfer o		
授權人士1姓名	授權人士2姓名	
Name of Authorized Person 1 XXX	Name of Authorized Person	$_{2}$ XXX
身份證/護照號碼	身份證/護照號碼	<u> </u>
Identity Card/ Passpost Number XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	Identity Card/ Passpost Num	har
聯絡電話號碼及電郵地址 138 XXXX XXXX Contact Phone Number & Email Address abc@gmail.com	聯絡電話號碼及電郵地址	1 🙆 '1
	Contact Phone Number & En	Titali / tadi 035
帳戶持有人與授權人士之關	帳戶持有人與授權人士	□ 父母與子女 □ 配偶 Spouse
係 Relationship between Parent and Child	之關係 Relationship	Parent and Child
Authorized Person	between Authorized Person	
▼ 其他 Ohter 員工	Late 17th Co	▼ 其他 Ohter 員工
權限 Capacity	權限 Capacity	□ 開戶 Account Opening
□ 交易 Trading		☑ 交易 Trading
☑ 調撥資金 Fund Transfer		□ 調撥資金 Fund Transfer
授權人士1簽字	授權人士2簽字	
Signature of Authorized Person 1	Signature of Authorized Pers	son 2
授權人士3姓名	授權人士4姓名	
Name of Authorized Person 3	Name of Authorized Person	4
身份證/護照號碼	身份證/護照號碼	
Identity Card/ Passpost Number	Identity Card/ Passpost Num	ber
	聯絡電話號碼及電郵地址	
Contact Phone Number & Email Address	Contact Phone Number & En	
帳戶持有人與授權人士之關	帳戶持有人與授權人士	□ 父母與子女 □ 配偶 Spouse
係 Relationship between Parent and Child	之關係 Relationship	Parent and Child
Authorized Person	between Authorized Person	□ 親屬 Relatives □ 朋友 Friend
其他 Ohter	抽灯 С	□ 其他 Ohter
權限 Capacity □ 開戶 Account Opening □ 京日 To dive	權限 Capacity	□ 開戶 Account Opening
□ 交易 Trading □ 調撥資金 Fund Transfer		□ 交易 Trading □ 調撥資金 Fund Transfer
授權人士3簽字	授權人士4簽字	<u> </u>
Signature of Authorized Person 3	Signature of Authorized Pers	con A
□ 茲附上授權人士的身份證或護照副本 Copy of ID card or passport o		
□ 然門工技惟入工的身份超叉設照副本 Copy of ID cald of passport o	i Aumonzeu Person nas been	attached (需提供獲授權人的身份證文件)
注意事項Notes		
1. 請隨本表格附上全部帳戶持有人之身份證副本及最近三個月內之代	生宝及通訊地址證明文件(	郵政信箱恕不接受)。
Please enclose an ID copy and residential and correspondence address		
account holders with this Form.	(es) proof dated within the r	ast 3 months (1.0. box is not accepted) for an
2. 任何有關個人資料的刪改必須由全部帳戶持有人簽署作實。		
Any deletion or amendment of the personal information must be signed	d by all account holders	
3. 帳戶持有人的款項將會被存入本表格內所提供的指定銀行賬戶,	-	
All monies payable to the account holder will be credited to the design		d on this Form unless otherwise instructed
		ed on this Form, timess otherwise instructed.
4. 五礦經易恕不接受第三者存款。任何例外情況都需要管理層的預		
Third-party deposit will not be accepted. Any exception is subject to provide the control of the		
5. 根據《稅務條例》第 80(2E)條,如任何人在作出自我證明時,在		
是否在要項上屬具誤導性、虛假或不正確下,作出該項陳述,即		
It is an offence under section 80(2E) of the Inland Revenue Ordinance		
misleading, false or incorrect in a material particular AND knows, or is		
in a material particular. A person who commits the offence is liable on	conviction to a fine at level	3 (i.e. \$10,000).
AND TREBLES I WAS TO THE STATE OF THE STATE		
獲授權開戶人簽字 + 公司印章	_	
簽署及公司印章 Signature & Company Chop		
	<u>-</u>	
Authorized Signatory's Name 授權簽署人姓名:		
日期 Date DD-MM-YYYY	-	

客戶號碼 Client Number

客戶確認及簽署 Acknowledgement and Execution by Client 適當的選擇旁加上✓				
選擇	服務SelectService(s)	帳戶種類Account Type		
		網上交易服務 On-Line Trading Service 請為我/我們提供網上交易服務。我/我們已經閱讀並明白網上交易協議的條款,並同意這些 條款的約束。 Please provide me/us with On-Line Trading Service. I/We have read and understand the provisions of the On-LineTrading Agreement as set out in the Futures Client Agreement and agree to be bound		
	期貨戶口 Futures Account	請為我/我們開立期貨戶口。我/我們已經閱讀並明白期貨客戶協議的條款及風險披露聲明及免責聲明,並同意這些條款的約束。 Please open a Futures Account for me/us. We have read an understand the provisions of the Futures Client Agreement and Risk Disclosure Statements and Disclaimers and agree to be bound by same.		

#### 客戶聲明 Declaration by Client

#### 1. 風險披露聲明Risk Disclosure Statements

客戶確認五礦經易以客戶選擇的語言(英文或中文)提供期貨客戶協議的風險披露聲明及免責聲明。客戶進一步確認五礦經易已經邀請客戶 閱讀風險披露聲明,提出問題及徵求獨立的意見(如客戶有此意願)。

The Client acknowledges that the Futures Client Agreement including the Risk Disclosure Statements and Disclaimers attached were provided in a language (English or Chinese) of the Client's choice. The Client further acknowledges that he/she was invited to read the risk disclosure statements, ask questions and take independent advice, if the Client wished.

客戶應經常密切留意市況,五礦經易可能在強制平倉前無法與客戶職絡或未能提供足夠時間讓客戶進行額外的存款。

The Client acknowledges that he/she should closely monitor positions, asMJFINS may not be able to contact you or give you sufficient time to deposit additional funds, before forced liquidation of positions.

#### 2. 提供準確的資料Accuracy of information provided

載於本開戶表格(「開戶表格」)中的資料是真實和準確的。客戶將會通知五礦經易有關資料的任何重要改變。五礦經易可聯絡任何人,包括 客戶的銀行、經紀或信用調查機構,以核實資料表的內容。

The information contained in this Account Opening Information Form (the "Form") is true and accurate. The Client will notify MJFINS of any material change of that information. MJFINS is authorized to contact anyone, including Client's banks, brokers or any credit agency, for verifying the information provided on this Form.

## 3. 轉介費或介紹費Referral or introduction fees

客戶確認五礦經易可向介紹人支付轉介費或介紹費,而該介紹人並非五礦經易的代理人。

The Client acknowledges that MJFINS may pay fees to any person(s) who referred or introduced the Client to MJFINS (the "Introducer"), and that the Introducer is not an agent of MJFINS.

#### 4. 電子服務系統 Electronic Services System

客戶確認五礦經易有可能提供第三方電子服務系統進行買賣。如果客戶透過電子服務進行買賣,客戶便須承受該電子服務系統帶來的風險, 包括有關系統硬體和軟件可能會失靈的風險。五礦經易聲明客戶/使用者透過電子服務系統進行買賣所產生之風險與盈虧,一概不承擔責

The Client acknowledges that the trades in MJFINS might be executed by third party Electronic Services System,. If the client undertake Transactions via Electronic Services, the client will be exposed to risks associated with the Electronic Services system including the failure of hardware and software. The Company accepts no responsibility for any loss which may be incurred by the Client as a result of using Electronic Services System.

#### 5. 持倉 Position Hold

客戶確認在五礦經易的持倉有可能由第三方代理行托管。客戶確認已被邀請閣讀有關申報、限量及平倉合約的規則。

The Client acknowledges that the position in MJFINS might be hosted by third party brokerage. The Client acknowledges that it was invited to read the

Kules ili felation to fe	porting, infilting and closing out of	i positions.	
獲授權開戶	戶人簽字 + 公司印章		
簽署及公司印章 Sign	nature & Company Chop		
Authorized Signatory's	Name 授權簽署人姓名:		
日期 Date	DD-MM-YYYY		
2018年11日版		P.5 of 7	



<b>□ V △</b> ·	*# (L HH * N · 1 · D	各户就与Cilent Number
風險		ofiling Questionnaire 適當的選擇旁加上✓
1.		資經驗(包括:股票、單位信託基金、外幣、商品、結構投資產品、認股權證俗稱「 窩輪 」)、期權、期貨等)?
		nvestment experience do your company have (for example stocks, unit trusts, foreign currencies, commodities,
		products, warrants, options, futures.)?
	1. 🗌	没有經驗或少過1年 No experience or Less than 1 year
	2. 🗌	$1-3 \neq \text{years}$
	3. 🗌	4 – 6 年 years
	4. 🗌	7 – 9 年 years
	5. 🗌	10 年或以上 years or above
2.		f以下任何投資產品? (可選多個)
	Are your company or	arrently holding any of the below investment products? ( your company may select more than 1 option)
	1. 🗌	現金、存款、存款証、保本產品 Cash, deposit, certificates of deposit, capital protected products
	2. 🗌	債券、債券基金 Bond, bond funds
	3. 🗌	外幣、非保本之貨幣、掛釣結構投資產品 Foreign currencies, non capital protected currency linked structures products
	4. 🗌	股票、開放式基金(不包括債券基金或貨幣市場基金)、非保本之股票掛釣結構投資產品、具投資成分的保險計
		劃、商品 Stocks, open-end funds excluding bond funds and money market funds, non capital protected equity linked
	_	structured products, investment-linked insurance plans, commodities
	5. 🗌	期權、期貨、認股權証(俗稱「窩輪」)Options, futures, warrants
3.	告八司陌音缀中個力	資產總值多少百分比作投資於投資產品的用途?
٥.		。 真座総画ダクロカル下双真形双真座四町加速: et worth would your company like to set aside for investment products?
	1.	0% - 10%
	2.	11% – 20%
	3.	21% – 40%
	3. <u> </u>	>40%
	4. 📋	> 4070
4.	以投資回報的波幅列	看,以下哪一項最適合用來形容貴公司願意接受的潛在收益和損失?
		nt return fluctuations, which of the following best describes the potential gain and loss that is acceptable to your company?
	1. 🗌	波動少於 -5%至+5%之間 Fluctuates between -5% and +5%
	2. 🗌	波動於 -15%至+15%之間 Fluctuates between -15% and +15%
	3.	波動於 -30%至+30%之間 Fluctuates between -30% and +30%
	4.	波動於 -50%至+50%之間 Fluctuates between -50% and +50%
	5. 🗌	波動多於 -50%至 +50%之間 Fluctuates under -50% and over +50%
5.		資產下跌超過15%,對貴公司的影響程度如何?
		6% or over in your overall investment portfolio, what level of your company will be suffered?
	1. 🗌	Intolerable 無法承受
	2.	Great impact 影響程度大
	3. 🗌	Medium impact 中度影響
	4. 🗌	Little impact 影響程度小
	5. 🗌	No impact 不會有影響
Risk T	olerance Analysis風	險承受能力分析 分數計算以每條問題最高得分計
	風險承受程度	投資者的一般特徵
Ris	k Tolerance Level	Investor General Characteristics
	低風險	Conservative 保守型
	Low Risk	您願意承受低度的風險,亦明白會接受比較保守回報。
	(≦10)	You are willing to accept low risks. In return, you understand that you will receive low returns.
	中風險	Balance 平衡型
	Medium Risk	您願意承受中度的風險,於中長線換取潛在回報。
	(11-17)	You are willing to accept medium risks in exchange for some potential returns over the medium to long term.
	高風險	Aggressive 進取型
	High Risk	您願意承受高度的風險,於長線換取最大的潛在回報。閣下亦明白到有可能招致損失大部份或全部本金。
	(≧18)	You are willing to accept very high risks to maximize your potential return over the long term. You understand that you
	<b>(</b> — <i>)</i>	may lose a significant part or all of your capital.
<b>√</b> -11		八司作者
独	授權開戶人簽字 +	<u>公可即</u>
<b>簽署及</b>	公司印章 Signature &	Company Chop
9權答	署人姓名 Authorized	Signatory's Name :
出開D		DD MM VVVV

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MINMETALS & JINGYI FINANCIAL SERVICES LIMITED 客戶號碼 Client Number

### 職員聲明 Declaration by Staff

我確認已經以客戶選擇的語言(英文或中文)提供了載於期貨客戶協議內的風險披露聲明,並且我已經邀請客戶閱讀風險披露聲明,提出有 關問題及尋求獨立意見(如客戶有此意願)。

I confirm that I have provided the Risk Disclosure Statements as set out in the Futures Client Agreement in a language (English or Chinese) of the Client's

Choice. I have also invited the			•	and take independent a	uvice if the Chefit wishes.
持第2類 (期貨合約交易) Lic	ensed for Type 2 (Dealin		acts)	T	
職員姓名:				中央編號:	日期:
Name of Staff:		Signature of Staff:		CE No.:	Date:
見證人姓名 Name of Withness <mark>見證人姓名</mark>			見證人簽署: Sig 見證人	nature of Withness: 簽字	
AML風險級別	(參考AML政策	ŧ)	□低	口中	□高
AML Risk category	( refer to AML p	oolicy)	Low	Medium	High
只供本公司使用For Of	ficial Use Only				
文件查核列表 Document che	ck list				
□ 通過開戶口的董事會決議	義案 Board Resolution of	Account Opening			
□ W-8BEN-E 表格 W-8BEN	í-E Form				
□ 自我證明表格-實體 Self-	Certification Form-Entity	<i>I</i>			
□ 自我證明表格 - 控權人	Self-Certification Form-C	Controlling Person (	頁數 No. of pages	)	
□ 非專業用戶自我認證表材	各 Non-Professional Self-	Certification Form			
□ 已認證有效的商業登記詞	登 Certified and Valid Bu	siness Registration (	Certificate		
□ 已認證有效的公司註冊詞	登書 Certified and Valid	Certificate of Incorp	poration		
□已核實的公司章程大綱和	江細則 Certified Memor	andum and Articles	of Association		
□已核實的公司註冊表格Ⅰ	NNC1 或最新的 NAR1	(只適用於香港成	立的企業)		
Certified Companies Regis					
					業登記證或公司註冊證書副本) erfitied I.D. cards; Corporation:
Certified Business Registra				urviduar. both sides of Ce	Titled I.D. Cards, Corporation.
□已核實的公司董事的身份	分證明正反兩面或護照	副本 Certified both	sides of I.D Card/P	assport Copy of Directors	S
□已核實的授權人(開戶人					
Certified both sides of I.D □ 已核實的最近期經審核				rading, Fund Transfer)	
Certified Latest Audited A				company for more than 1	8 months)
□ 銀行證明副本Bank Accou					,
□ 地址證明副本Address pro	oof				
客戶資料核對Client information	tion checked by				
職員姓名:		職員簽署:		中央編號:	日期:
Name of Staff:		Signature of Staff:	:	CE No.:	Date:
客戶資料輸入Client information	tion Inputted by	•		*	
職員姓名:		職員簽署:		中央編號:	日期:
Name of Staff:		Signature of Staff:	:	CE No.:	Date:
基本佣金 Basic Commission					
		T		1	
職員姓名: 職員簽署:				中央編號:	
Name of Staff:		Signature of Staff:		CE No.:	
開戶批核Account opening ap	proved by	I		1	
職員姓名:		職員簽署:		中央編號:	日期:
Name of Staff:		Signature of Staff:	:	CE No.:	Date:

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